

# **.SOUTH CAROLINA COMMISSION ON DISABILITIES AND SPECIAL NEEDS**

## **MINUTES**

August 18, 2016

The South Carolina Commission on Disabilities and Special Needs met on Thursday, August 18, 2016, at 12:00 Noon at the Department of Disabilities and Special Needs Central Office, 3440 Harden Street Extension, Columbia, South Carolina.

The following were in attendance:

### COMMISSION

#### Present:

Bill Danielson, Chairman  
Eva Ravenel, Vice Chairman – Via Teleconference  
Gary Lemel – Secretary  
Sam Broughton, Ph.D.  
Katie Fayssoux  
Vicki Thompson – Via Teleconference

#### Absent

Mary Ellen Barnwell

### DDSN Administrative Staff

Dr. Buscemi, State Director; Mr. David Goodell, Associate State Director, Operations; Mrs. Susan Beck, Associate State Director, Policy; Mr. Tom Waring, Associate State Director, Administration; Mrs. Tana Vanderbilt, General Counsel (For other Administrative Staff see Attachment 1 – Sign In Sheet).

### Guests

(See Attachment 1 Sign-In Sheet)

### Coastal Regional Center (via videoconference)

(See Attachment 2 Sign-In Sheet)

### Georgetown County DSN Board

### Pee Dee Regional Center (via videoconference)

(See Attachment 4 Sign-In Sheet)

### Pickens County DSN Board (via videoconference)

(See Attachment 5 Sign-In Sheet)

### Whitten Regional Center (via videoconference)

(See Attachment 6 Sign-In Sheet)

York County DSN Board (via videoconference)

News Release of Meeting

Chairperson Danielson called the meeting to order and Commissioner Lemel read a statement of announcement about the meeting that was mailed to the appropriate media, interested persons, and posted at the Central Office and on the website in accordance with the Freedom of Information Act.

Adoption of the Agenda

Commissioner Lemel made the motion to postpone and remove Item 10.C. - Pee Dee Roof Replacements. The motions was seconded and passed. The Commission adopted the August 18, 2016 Meeting Agenda with the changes by unanimous consent. (Attachment A)

Invocation

Commissioner Broughton gave the invocation.

Approval of the Minutes of the July 21, 2016 Commission Meetings

The Commission approved the July 21, 2016 Commission Meeting minutes with a change by unanimous consent.

Public Input

The following individuals spoke during Public Input: Ms. Deborah McPherson, Dr. Gerald Bernard, and Ms. Katherine Roberts.

Commissioners' Update

Commissioners Thompson and Ravenel spoke of events in their district.

State Director's Report

Dr. Buscemi reported on the following:

ADHC Increase – DHHS has approved an 8.5 percent increase for the ADHC waiver service. This does not ADHC nursing or transportation service rates. ADHC providers are being notified. DHHS has agreed to pay DDSN for the increased cost. DDSN is working on a method to pass the increase onto the financial manager of individual who attend ADHC.

Additional Waiver Slot Allocation – Began this week to rollout an additional 300 slots in the CS Waiver. This is consistent with the strategies identified as part of this goal in DDSN's Strategic Plan. These slots are over the amount of slots funded by current appropriations. The agency is trying to move individuals in

services as soon as possible, however, the agency will have to monitor to ensure we do not over enroll.

Regional Center Staffing Concerns – A recruitment bonus was instituted for new employees as well as a referral bonus for existing employees. The shift differential for direct care professionals will increase from .20 cents to .50 cents per hour. Increased pay was provided for building identified as high management. There is an especially staff shortage at the Midlands Center. An emergency procurement for a staffing firm was approved and it appears it is helping with the shortage.

ASD State Plan Amendment – Need to look at budget and factors driving rates along with utilization to project service array. State Plan language is fairly well completed and now looking at code set reimbursement section before sending to CMS. The temporary rollout of the EPSDT autism service is not going well. Only 85 or so children are actually receiving services. Once the state plan is approved it can broaden that provider group and will be able to enroll directly through the Medicaid program but will have the same frontend vetting. The biggest element is the rate is a limiting factor to bring in providers. CMS is saying they cannot change the PDD rates without an amendment. DHHS is negotiating with CMS to make an arrangement. DDSN has efforts to pay coursework to become trained to be a BCBA. Approximately 20 to 25 people are going through now.

CMS HCBS Final Rule Transition Plan – SCDHHS will hold a live online webinar on August 23, 2016 and conduct nine regional public meetings to discuss the revised Statewide Transition Plan. More information on the HSBS Rule and the upcoming public meetings can be found at [www.scdhhs.gov/hcbs](http://www.scdhhs.gov/hcbs).

### Waiting List Reduction Efforts

Mrs. Beck stated that the PDD data that was previously requested has been provided in the report that was shared with the Commission prior to the meeting. She also provided data on ANE. Dr. Buscemi spoke of the provider issue stating there are other ways for these individuals to receive other services as they are on the Waiting List. She added the agency decided to fill more slots as it is not expected that the waiver will be approved for 30 to 90 days.  
(Attachment B)

### Standing Rules

Chairman Danielson welcomed discussion on the Standing Rules that were developed during last month's Work Session. Commissioner Lemel made the motion to adopt the Standing Rules. The motion was seconded. Discussion followed. A roll call was taken with the following results: Ayes – Commissioners Lemel, Fayssoux, Broughton, Danielson; Nays – Commissioners Ravenel, Thompson. The motion passed with a 4 to 2 vote.  
(Attachment C)

### QA Process/Incident Management Reporting

Mrs. Beck provided data, a summary, and a comprehensive report on ANE. Discussion followed regarding the increase of critical incidents and what steps are being taken address the issue. (Attachment D)

### Strategic Planning Update

Dr. Buscemi gave a detailed update on the seven key areas prioritized by the Commission and the Workgroups which included 1) Crisis Management; 2) Elimination of the Waiting List; 3) Recruitment and Retention; 4) Oversight of Providers; 5) Communication between DDSN and Stakeholders; 6) Provider Support; 6) and 7) Intake Process. The timeline for the Intake Process is October.

### Financial Report Financial Report

Mr. Waring gave an analysis of the agency's financial activity through July of 2016 and the agency's current financial position. The agency's operating funds balance as of July 31, 2016 is \$211,396,583. Most of this is already obligated for services to be rendered throughout the fiscal year. The agency is earning approximately \$30 Million in Medicaid per month in which July was on target. He also provided a SCEIS report categorized by program which reflects budget verses actual expenditures through August 11, 2016. (Attachment E)

### Executive Session

On motion of Commissioner Broughton, seconded and passed, the Commission entered into Executive Session to discuss the State Director's performance evaluation.

### Enter into Public Session

The Commission entered into Public Session. It was noted that no action was taken in the Executive Session.

The Commission voted on each objective of the State Director's evaluation. The following are the results:

#### Objective One

Exceeds - Commissioners Lemel, Danielson, Broughton, Fayssoux  
Fails - Commissioners Thompson and Ravenel

#### Objective Two

Exceeds - Commissioners Lemel, Danielson, Broughton, Fayssoux  
Meets - Commissioners Thompson and Ravenel

Objective Three

Exceeds – Commissioners Danielson, Fayssoux, Broughton  
Meets – Commissioner Lemel  
Fails – Commissioners Thompson and Ravenel

Objective Four

Meets - Commissioners Lemel, Danielson, Broughton, Fayssoux  
Fails - Commissioners Thompson and Ravenel

Objective Five

Exceeds – Commissioners Lemel, Danielson, Fayssoux  
Meets – Commissioners Broughton and Ravenel  
Fails – Commissioner Thompson

Objective Six

Meets - Commissioners Lemel, Danielson, Broughton, Fayssoux  
Fails- Commissioners Thompson and Ravenel

Overall Performance

Exceeds – Commissioners Danielson, Lemel, Fayssoux  
Meets – Commissioner Broughton  
Fails – Commissioners Thompson and Ravenel

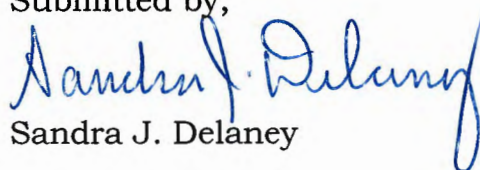
Next Regular Meeting

September 15, 2016

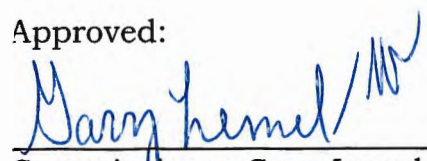
Adjournment

With no further business, Commissioner Lemel adjourned the meeting.

Submitted by,

  
Sandra J. Delaney

Approved:

  
\_\_\_\_\_  
Commissioner Gary Lemel  
Secretary

SC COMMISSION ON DISABILITIES AND  
Commission Meeting

Attachment 1

August 18, 2016

Guest Registration Sheet

**(PLEASE PRINT)**

Name and Organization

1. Judy Johnson
2. Daniel Denis Autism
3. Marty Rawls DDSN
4. Chuck Norman DDSN
5. Debra & Heather Mephera Richland County
6. Tim Smith Greenville News
7. KATHLEEN ROBERTS SC PADD  
WHITTEN CENTER PARENTS CLUB
8. Gerald Bernal Children Center
9. Phil Clark son BIASC
10. Katie Lynn Cox Commission
11. Ben Orner DDSN
12. Jennifer Van Cleave LGOA
13. Julie Brown
14. Angela Jacildone SCSCIA
15. Jae White Cherokee County DSNB
16. David Rotholtz (David Rotholtz) CDR/USC
17. Brent Parker (Brent Parker) ABDSNB
18. Patricia Harrison
19. Mike Bell Arc of the Midlands
20. Suzanne Hyman Project Hope Foundation

# SC COMMISSION ON DISABILITIES AND SPECIAL NEEDS

## Commission Meeting

August 18, 2016

### Guest Registration Sheet

**(PLEASE PRINT)**

Name and Organization

21. Ann Dalton SCID/IDSN

22. KENNETH ACDB 1)

23. Joyue Davis BIASC

24. Mary Barbara Ruschkofski MCFC

25. Cindy Chubb MCFC / RLDSNB

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SC COMMISSION ON DISABILITIES AND S  
Commission Meeting  
August 18, 2016

**Guest Registration Sheet**

**(PLEASE PRINT)** Name and Organization

- 1. Suzanne Johnson Coastal Center Parent
- 2. A Shook Berkeley Citizens, Inc
- 3. Ronda Ritchie DDSN Dist. II Office
- 4. Swan Cooper Path Finders
- 5. Linda Bodiford Coastal Center PGA
- 6. Rufus Britt District II
- 7. Hester Wannamaker District II
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SC COMMISSION ON DISABILITIES AND S...  
Commission Meeting  
August 18, 2016

**Guest Registration Sheet**

**(PLEASE PRINT)** Name and Organization

1. *Frank Holsclaw* Holsclaw Board member GCBNSN

2. *Elizabeth Keenan* E.D.

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SC COMMISSION ON DISABILITIES AND  
Commission Meeting  
August 18, 2016

**Guest Registration Sheet**

**(PLEASE PRINT)** Name and Organization

- 1. Mike Keith Marion-Dixon DSN
- 2. Debra K. Smith DDSN DISTRICT II
- 3. Mary Mack Lee Co DSN Board
- 4. J. H. [unclear] SCOPSN
- 5. Susan L. John Henry Co. DSN
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SC COMMISSION ON DISABILITIES AND S  
**Commission Meeting**  
August 18, 2016

**Guest Registration Sheet**

**(PLEASE PRINT)** Name and Organization

1. Elaine M. Thena PCBDSN

2. Tyler Rex ACDSNB

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**SC COMMISSION ON DISABILITIES AND  
Commission Meeting  
August 18, 2016**

**Guest Registration Sheet**

**(PLEASE PRINT)** Name and Organization

1. John King DDSN

2. Jimmy BURTON BURTON CENTER

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**SOUTH CAROLINA COMMISSION ON DISABILITIES AND**

**A G E N D A**

**South Carolina Department of Disabilities and Special Needs  
3440 Harden Street Extension  
Conference Room 251  
Columbia, South Carolina**

**August 18, 2016**

**10:00 A.M.**

1. Call to Order *Chairman Bill Danielson*
2. Welcome - Notice of Meeting Statement *Commissioner Gary Lemel*
3. Invocation *Commissioner Vicki Thompson*
4. Introduction of Guests
5. Adoption of Agenda
6. Approval of the Minutes of the July 21, 2016 Commission Meeting
7. Public Input
8. Commissioners' Update *Commissioners*
9. State Director's Report *Dr. Beverly Buscemi*
10. Business:
  - A. Waiting List Reduction Efforts *Ms. Susan Beck*
  - B. Standing Rules *Chairman Bill Danielson*
  - ~~C. Pee Dee Center Roof Replacements *Mr. Tom Waring*~~
  - D. QA Process/Incident Management Reporting *Ms. Susan Beck*
  - E. Strategic Planning Update *Dr. Beverly Buscemi*
  - F. Financial Reports *Mr. Tom Waring*
11. Executive Session
12. State Director Evaluation *Chairman Bill Danielson*
13. Next Regular Meeting (September 15, 2016)
14. Adjournment

**SC Department of Disabilities and Special Needs  
Waiting List Reduction Efforts**

Row #	Total Numbers At Beginning of the Month	2015				2016							
		September	October	November	December	January	February	March	April	May	June	July	August
1	Intellectual Disability/Related Disabilities Waiver Waiting List Total	5,443	4,934	4,793	4,779	4,925	4,935	5,001	5,191	5,312	5,545	5,702	5,815
2	Community Supports Waiver Waiting List Total	3,565	3,544	3,534	3,478	3,530	3,501	3,551	3,566	3,734	3,563	3,028	3,010
3	Head and Spinal Cord Injury Waiting List Total	0	0	0	0	0	0	0	0	0	0	0	0
4	Critical Needs Waiting List Total	140	122	118	124	122	122	133	125	129	137	149	160
5	Total Number <u>Added</u> to the ID/RD, HASCI, and CS Waiting Lists	182	318	367	214	406	285	389	544	602	456	452	346
6	Total Number <u>Removed</u> from the ID/RD, HASCI, and CS Waiting Lists	357	848	518	284	208	304	272	340	313	394	830	251
7	Number of Individuals Enrolled in a Waiver by Month	143	133	154	125	176	180	137	195	135	124	140	109
8	Number of Individuals Opted for Other Services/Determined Ineligible by Month	137	340	220	124	90	121	117	133	108	38	34	210
9	Total Number of Individuals Removed from Waiting Lists (Running Total)	5,282	6,473	6,549	6,837	7,050	7,327	7,631	7,935	8,229	8,676	9,412	9,650
10	Total Number of Individuals Pending Waiver Services (Running Total)	1,314	1,901	1,952	1,815	1,833	1,743	1,690	1,606	1,598	1,736	2,084	1,999
11	Total Unduplicated Individuals on the Waiver Waiting Lists (*Approximate)	6,035*	5,680	5,495*	5,449*	5,580	5,575*	5,635	5,776	5,879	6,148	6,129	6,246

\*\* Approximately 29.2% of the 8,825 individuals on a waiting list are duplicated names resulting in the 6,246 unduplicated individuals on waiting lists.

**PDD Waiting List Information**

12	PDD Program Waiting List Total	1,602	1,615	1,621	1,619	1,633	1,638	1,649	1,659	1,679	1,653	1,639	1,630
13	Total Number <u>Added</u> to the PDD Waiting List	57	57	53	56	60	51	48	63	69	34	62	44
14	Total Number <u>Removed</u> from the PDD Waiting List	43	44	47	58	43	46	37	53	49	60	76	53
15	Number of Individuals Enrolled in the PDD <u>State Funded</u> Program by Month	291	276	279	291	276	264	259	263	256	253	241	227
16	Number of Individuals Pending Enrollment in the PDD Waiver by Month	67	72	72	81	84	82	75	81	97	110	137	143
17	Number of Individuals Enrolled in the PDD Waiver by Month	700	699	695	686	684	691	695	690	671	656	631	625

Updated 8/1/2016

**SC Department of Disabilities and Special Needs**  
**Waiting List Reduction Efforts**  
As of August 1, 2016 (run on August 1, 2016)

Waiting List	Number of Individuals Removed from Waiting Lists	Consumer/Family Determination		Number of Individuals Services are Pending
		Number of Individuals Enrolled in a Waiver	Number of Individuals Opted for Other Services/ Determined Ineligible	
Intellectual Disability/Related Disabilities (As of July 1, 2014)	1,438 (FY15) 2,109 (FY16) <u>3 (FY17)</u> 3,550	712 (FY15) 1,041 (FY16) <u>23 (FY17)</u> 1,776	519 (FY15) 824 (FY16) <u>0 (FY17)</u> 1,343	70 (FY15) 357 (FY16) <u>3 (FY17)</u> 430
Community Supports (As of July 1, 2014)	2,430 (FY15) 1,842 (FY16) <u>1,046 (FY17)</u> 5,318	700 (FY15) 638 (FY16) <u>78 (FY17)</u> 1,416	1,504 (FY15) 818 (FY16) <u>210 (FY17)</u> 2,532	34 (FY15) 542 (FY16) <u>794 (FY17)</u> 1,370
Head and Spinal Cord Injury (As of Oct 1, 2013)	782	347	236	199
		3,539	4,111	
<b>Total</b>	<b>9,650</b>	<b>7,650</b>		<b>1,999</b>

Waiting List *	Number of Individuals Added Between July 1, 2014 and August 1, 2016	Number of Individuals Waiting as of August 1, 2016
Intellectual Disability/Related Disabilities	4,053 (130 since 7/1/16)	5,815
Community Supports	3,979 (199 since 7/1/16)	3,010
Head and Spinal Cord Injury	0	0
<b>Total</b>	<b>8,032</b>	<b>8,825**</b>

\* There is currently no Head and Spinal Cord Injury (HASCI) Waiver waiting list.

\*\* Approximately 29.2 percent of the 8,825 are duplicated names; there are 6,246 people on a waiver waiting list.

**South Carolina Department Of Disabilities & Special Needs  
As Of July 31, 2016**

<b>Service List</b>	<b>06/30/16</b>	<b>Added</b>	<b>Removed</b>	<b>07/31/16</b>
Critical Needs	149	40	29	160
Pervasive Developmental Disorder Program	1639	44	53	1630
Intellectual Disability and Related Disabilities Waiver	5702	130	17	5815
Community Supports Waiver	3028	199	217	3010
Head and Spinal Cord Injury Waiver	0	17	17	0

Report Date: 8/4/16





**Beverly A. H. Buscemi, Ph.D.**  
*State Director*  
**David A. Goodell**  
*Associate State Director*  
*Operations*  
**Susan Kreh Beck**  
*Associate State Director*  
*Policy*  
**Thomas P. Waring**  
*Associate State Director*  
*Administration*

**COMMISSION**  
**William O. Danielson**  
*Chairman*  
**Eva R. Ravenel**  
*Vice Chairman*  
**Gary C. Lemel**  
*Secretary*  
**Mary Ellen Barnwell**  
**Sam F. Broughton, Ph.D.**  
**Catherine O. Fayssoux**  
**Vicki A. Thompson**

3440 Harden Street Ext (29203)  
PO Box 4706, Columbia, South Carolina 29240  
803/898-9600  
Toll Free: 888/DSN-INFO  
Website: [www.ddsn.sc.gov](http://www.ddsn.sc.gov)

## South Carolina Department of Disabilities and Special Needs Commission Standing Rules for Meetings

### For Commissioners and DDSN Staff

1. Use **Robert's Rules of Order** special procedures for small boards (< 12 members)
  - o Exception to the rules for small boards: require a second for motions
2. Use system of color coded agendas
  - o Items on the Blue agenda do not require discussion and can be accepted or approved with one motion by the body (examples may include but are not limited to standing monthly reports and adoption of the minutes from the prior meeting)
  - o Prior to adopting the Blue Agenda any member may request that an item be moved from the Blue agenda for additional discussion and voted upon or reviewed separately
3. Commissioners and DDSN staff members will change sitting locations for each meeting
4. Silence cell phones during the meeting
5. No texting in the meeting room during the meeting
6. No recording of meetings
7. Have one conversation at a time
8. Be candid
9. Be open and respectful
10. For discussions that bog down, Commissioners can request "ELMO;" which stands for "Enough, Let's Move On."

### For the Public in Attendance

1. Cell phones silenced during meetings
2. No side conversations during meetings

Approved by Commission on August 18, 2016

  
\_\_\_\_\_  
William O. Danielson, Chairman, SC DDSN Commission

#### DISTRICT I

P.O. Box 239  
Clinton, SC 29325-5328  
Phone: (864) 938-3497

Midlands Center - Phone: 803/935-7500  
Whitten Center - Phone: 864/833-2733

#### DISTRICT II

9995 Miles Jamison Road  
Summerville, SC 29485  
Phone: 843/832-5576

Coastal Center - Phone: 843/873-5750  
Pee Dee Center - Phone: 843/664-2600  
Saleeby Center - Phone: 843/332-4104



**SCDDSN INCIDENT MANAGEMENT REPORTING**

- Critical Incidents
- Allegations of Abuse, Neglect, and Exploitation
- Death Reporting

## SCDDSN INCIDENT MANAGEMENT REPORTING

DDSN has a comprehensive system for collecting data related to abuse, neglect exploitation or other critical incidents. This review covers reporting within the appropriate time frames, completion of internal reviews, and a review of the provider's management action taken, staff training, risk management and quality assurance activities to provide safeguards for the consumers.

DDSN follows the procedures for reporting allegations of Abuse, Neglect, and Exploitation according to the procedures outlined in the SC Code of Law for Adult/ Child Protective services and the Omnibus Adult Protection Act.

## SCDDSN INCIDENT MANAGEMENT REPORTING

DDSN tracks, trends, and analyzes all Incident Management data through statewide and provider-level profile reports. These reports provide raw data with regard to the number of reports made and cases substantiated and also gives a rate per 100 ratio. As an additional measure, the reports breakdown the types of abuse cases within the provider agency and the number of each type of report. A listing of the top four types of reports for the provider and the state as a whole is given for additional comparison. The rate per 100 information is especially useful in providing a comparative analysis among agencies.

## SCDDSN INCIDENT MANAGEMENT REPORTING

### **ANE Reports- Disciplinary Action Taken**

Per 534-02-DD, for all allegations of abuse, neglect or exploitation, the alleged perpetrator must be immediately placed on Administrative Leave Without Pay. Based on the outcome of the internal review for improper conduct and any policy or procedural violations, the provider agency may take appropriate disciplinary action consistent with their human resource policies. Allegations substantiated by SLED, Local Law Enforcement or DSS must result in termination of the employee.

The following slides represent the total number of allegations reported and the number of cases substantiated by a State Investigative Agency, divided by location type. Based on these findings, 3 staff were terminated in FY16 due to substantiated abuse, neglect or exploitation. An additional 96 staff were terminated throughout the DDSN provider network due to policy or procedural violations or other improper conduct towards a consumer. This information will be tracked by provider agency and service type beginning in July 2016.

## SCDDSN INCIDENT MANAGEMENT REPORTING

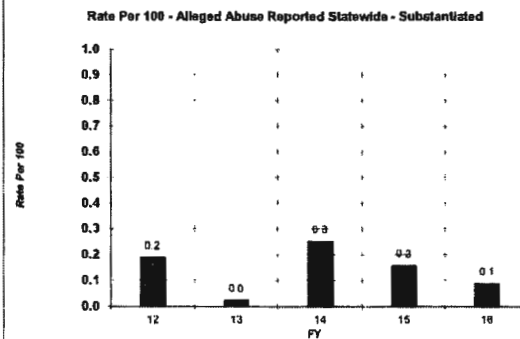
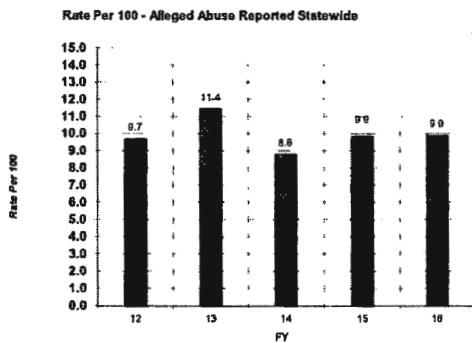
Community Residential Services -Allegations of Abuse, Neglect, Exploitation

	<u>FY 12</u>	<u>FY 13</u>	<u>FY14</u>	<u>FY15</u>	<u>FY16</u>
# Reports	412	491	383	437	456
Rate Per 100	9.7	11.5	8.8	9.9	9.9
Allegations Substantiated	8	1	11	7	4
Rate Per 100	0.19	0.02	0.25	0.16	0.09

## SCDDSN INCIDENT MANAGEMENT REPORTING

Allegations reported for Community Residential Service Providers

Substantiated Allegations for Community Residential Service Providers



## SCDDSN INCIDENT MANAGEMENT REPORTING

### Frequency of the types of abuse reported- Statewide Residential

	Physical	Neglect	Psychological	Exploitation
FY 12	197	103	92	42
	Physical	Neglect	Psychological	Exploitation
FY 13	200	162	109	68
	Physical	Neglect	Psychological	Exploitation
FY 14	171	128	77	43
	Physical	Neglect	Exploitation	Psychological
FY 15	209	116	63	56
	Physical	Neglect	Psychological	Exploitation
FY 16	205	138	89	38

## SCDDSN INCIDENT MANAGEMENT REPORTING

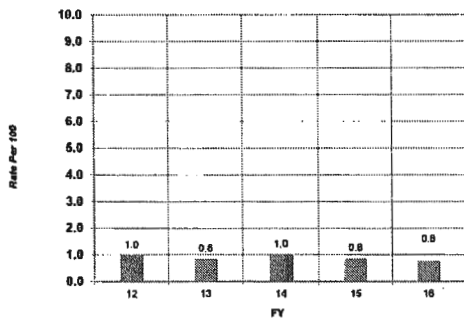
### Day Services- Allegations of Abuse, Neglect and Exploitation

	<u>FY 12</u>	<u>FY 13</u>	<u>FY14</u>	<u>FY15</u>	<u>FY16</u>
# Reported	71	61	73	65	58
Rate Per 100	0.96	0.82	0.97	0.58	0.7
# Allegations Substantiated	2	2	4	4	1
Rate Per 100	0.03	0.03	0.05	0.05	0.01

## SCDDSN INCIDENT MANAGEMENT REPORTING

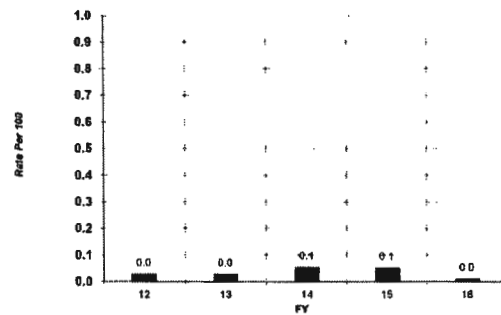
### Allegations reported for Community Day Services

Rate Per 100 - Alleged Abuse Reported Statewide



### Substantiated Allegations for Community Day Service Providers

Rate Per 100 - Alleged Abuse Reported Statewide - Substantiated



## SCDDSN INCIDENT MANAGEMENT REPORTING

### Frequency of the types of abuse reported- Statewide Day

	Physical	Neglect	Psychological	Sexual/Expl
FY 12	38	17	11	4 ea
FY 13	25	19	10	10
FY 14	37	16	13	5
FY 15	25	21	15	5
FY 16	27	20	12	1

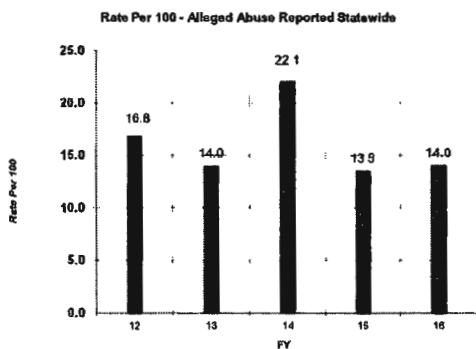
## SCDDSN INCIDENT MANAGEMENT REPORTING

ABUSE, NEGLECT, EXPLOITATION reported by Regional Centers

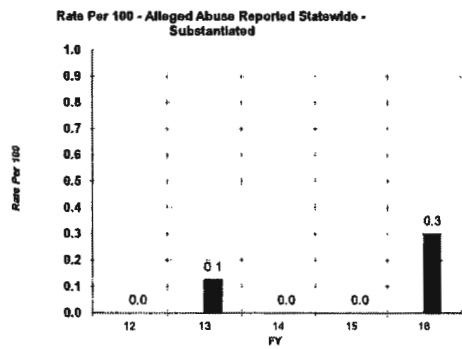
	<u>FY 12</u>	<u>FY 13</u>	<u>FY14</u>	<u>FY15</u>	<u>FY16</u>
# Allegations Reported	137	111	167	102	111
Rate Per 100	16.8	14	22	13.5	14
# Allegations Substantiated	0	1	0	0	2
Rate Per 100 (Substantiated)	0.0	0.1	0.0	0.0	.3

## SCDDSN INCIDENT MANAGEMENT REPORTING

Allegations reported for Regional Centers



Substantiated Allegations for Regional Centers



## SCDDSN INCIDENT MANAGEMENT REPORTING

### ANE Reports- Disciplinary Action Taken

Per 534-02-DD, for all allegations of abuse, neglect or exploitation, the alleged perpetrator must be immediately placed on Administrative Leave Without Pay. Based on the outcome of the internal review for improper conduct and any policy or procedural violations, the provider agency may take appropriate disciplinary action consistent with their human resource policies. Allegations substantiated by SLED, Local Law Enforcement or DSS must result in termination of the employee.

Disciplinary actions for DDSN and its provider agency personnel have been summarized based on actions documented in the Incident Management System.

*\*This data will be tracked by provider agency and service type beginning July 1, 2016.*

Community Residential & Day	FY14	FY15	FY16
Termination	79	83	72
Resignation	1	7	7
Written Warning	15	39	10
Verbal Counseling	6	7	13
Suspension	5	17	10
Other disciplinary action	11	47	10

Regional Centers	FY14	FY15	FY16
Termination	17	16	24
Written Warning	4	13	4
Suspension	1	4	0
Other disciplinary action	3	19	12

## SCDDSN INCIDENT MANAGEMENT REPORTING

### Critical Incidents

**\*\*Critical Incident numbers are not unduplicated numbers.**

Critical Incident categories are selected by the reporter and more than one category may be selected for an incident. For example, a van accident would be reported under Motor Vehicle Accidents, but it may also involve injuries and possibly Major Medical. Aggression between 2 consumers may result in Law Enforcement involvement and a report of injuries.



## SCDDSN INCIDENT MANAGEMENT REPORTING

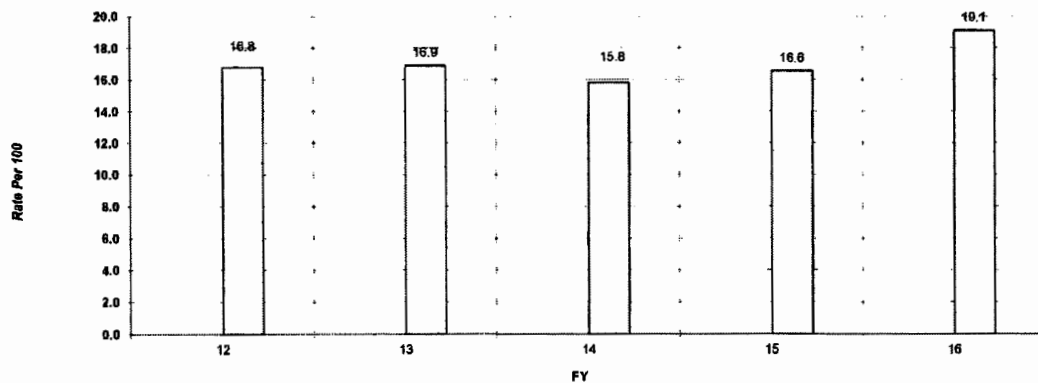
Critical Incidents reported by Community Providers (DSN Boards and Qualified Providers)

	<u>FY 12</u>	<u>FY 13</u>	<u>FY 14</u>	<u>FY 15</u>	<u>FY 16</u>
# Incidents Reported	1,325	1,338	1,277	1,385	1659
Rate Per 100	16.8	16.9	15.8	16.6	19.1

Major medical incidents have been steadily increasing, but this is impacted by our agency's aging population and increasing medical needs. There have been more people admitted to the hospital for pneumonia or other respiratory infections, an increase in consumers receiving Hospice care in their DDSN home as opposed to a nursing home, and other medical conditions typically associated with older adults. DDSN staff trend this data and work with program staff to provide appropriate training and technical assistance where needed.

## SCDDSN INCIDENT MANAGEMENT REPORTING

Rate Per 100 - CI Cases Reported Statewide



## SCDDSN INCIDENT MANAGEMENT REPORTING

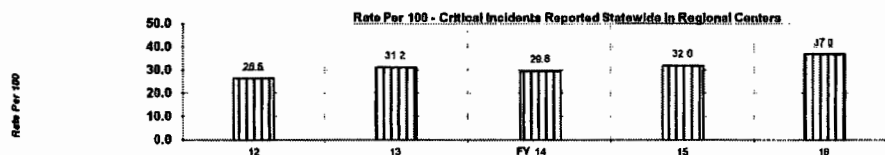
Types of Critical Incident Reports most frequently submitted by Community-Based Providers

	Maj. Med	Aggression	Injury	Other
FY 12	475	142	135	130
	Maj. Med	Hosp>3	Aggression	Fall
FY 13	391	208	124	115
	Maj. Med	Hosp>3	Injury	Aggression
FY 14	277	239	134	116
	Hosp>3	Maj. Med	Other	Aggression
FY 15	270	257	154	148
	Hosp>3	Maj. Med	Law Enf	Other
FY 16	345	318	202	189

## SCDDSN INCIDENT MANAGEMENT REPORTING

CRITICAL INCIDENTS reported by Regional Centers

	FY 12	FY 13	FY14	FY15	FY16
# Incidents Reported	216	248	224	240	294
Rate Per 100	26.5	31.2	29.6	32	37

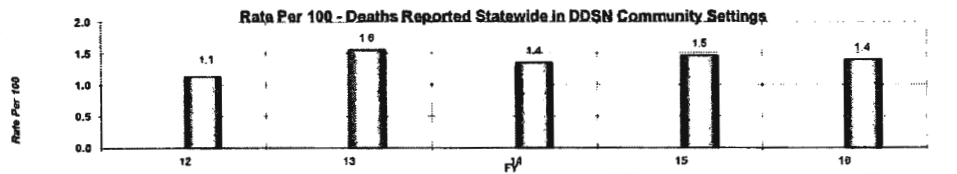


In September 2011, DDSN revised the Critical Incident Directive to include additional criteria under major medical to better track this information. The revised criteria include hospital admissions of 3 or more days or more than 2 ER visits in a 30 day period. This change had a much larger impact on DDSN Regional Centers than the community providers.

## SCDDSN INCIDENT MANAGEMENT REPORTING

Deaths reported by Community Providers (DSN Boards and Qualified Providers)

	<u>FY 12</u>	<u>FY 13</u>	<u>FY14</u>	<u>FY15</u>	<u>FY16</u>
# Deaths Reported	48	67	59	65	63
Rate Per 100	1.13	1.56	1.35	1.5	1.4

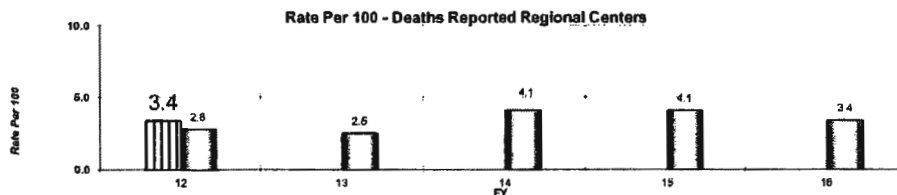


For both community residential settings and regional centers, DDSN has observed a slight increase in the number of deaths reported. DDSN providers support a population that is aging in place rather than moving to a nursing home. Many residents receive Hospice care in their DDSN sponsored setting, rather than moving to a Hospice setting. DDSN has also observed more deaths related to cardiac disease.

## SCDDSN INCIDENT MANAGEMENT REPORTING

DEATHS reported by Regional Centers

	<u>FY 12</u>	<u>FY 13</u>	<u>FY14</u>	<u>FY15</u>	<u>FY16</u>
# Deaths Reported	23	20	31	31	26
Rate Per 100	2.8	2.5	4.1	4.1	3.4



## SCDDSN INCIDENT MANAGEMENT REPORTING

DDSN has staff dedicated to the review of statewide incident management data. All reports are reviewed for completeness and consistency. Staff ensure reporting procedures are consistent with DDSN policy.

Reports are reviewed to ensure appropriate disciplinary actions, recommendations for training and additional quality management actions to prevent recurrence.

Reports are also tracked for various details, including the number of reports, by type, for each provider and the average age of consumers involved in incidents.

Examples of provider training recommendations and/ QM efforts include the following:

- Increased staffing to support consumers in day or residential locations or on community outings.
- Development of new/ revised policies
- Additional/ refresher MANDT or crisis intervention training for staff
- Sensitivity training
- Appropriate use of restraints
- Rights/ due process
- Sign language
- Revision of supervision plans/ behavior support
- Evaluation of assistive technology

**SCDDSN Incident Management Report for 2016 (Community Residential, Day Service, and Regional Centers)**

**Allegations of Abuse/Neglect/Exploitation**

<b>Community Residential</b>	<b>JULY</b>	<b>AUGUST</b>	<b>SEPTEMBER</b>	<b>OCTOBER</b>	<b>NOVEMBER</b>	<b>DECEMBER</b>	<b>JANUARY</b>	<b>FEBRUARY</b>	<b>MARCH</b>	<b>APRIL</b>	<b>MAY</b>	<b>JUNE</b>
<b># of ANE Allegations</b>	28	32	37	44	34	40	32	41	24	56	38	50
<b># ANE Allegations Substantiated</b>	0	0	0	0	0	3	0	1	0	0	0	0
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	6	2	7	6	3	5	6	8	6	3	5	3
<b>Day Services</b>	<b>JULY</b>	<b>AUGUST</b>	<b>SEPTEMBER</b>	<b>OCTOBER</b>	<b>NOVEMBER</b>	<b>DECEMBER</b>	<b>JANUARY</b>	<b>FEBRUARY</b>	<b>MARCH</b>	<b>APRIL</b>	<b>MAY</b>	<b>JUNE</b>
<b># of ANE Allegations</b>	8	3	5	4	8	2	3	7	3	5	2	8
<b># ANE Allegations Substantiated</b>	0	0	0	0	0	0	0	0	0	1	0	0
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	2	0	0	0	0	0	3	2	1	1	1	2
<b>Regional Centers</b>	<b>JULY</b>	<b>AUGUST</b>	<b>SEPTEMBER</b>	<b>OCTOBER</b>	<b>NOVEMBER</b>	<b>DECEMBER</b>	<b>JANUARY</b>	<b>FEBRUARY</b>	<b>MARCH</b>	<b>APRIL</b>	<b>MAY</b>	<b>JUNE</b>
<b># of ANE Allegations</b>	4	11	4	5	19	7	4	7	13	9	6	21
<b># ANE Allegations Substantiated</b>	0	0	0	0	2	0	0	0	0	0	0	0
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	2	0	2	0	8	3	1	3	0	0	2	3

### Critical Incident Reporting

	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
<b># of Critical Incidents Reported-</b>												
<b>Community Residential</b>	121	114	93	104	91	98	118	112	110	117	125	98
<b># of Critical Incidents Reported-</b>												
<b>Day Service Settings</b>	28	23	17	11	16	25	13	21	26	22	19	18
<b># of Critical Incidents Reported-</b>												
<b>Regional Centers</b>	18	31	25	27	16	34	20	32	17	21	30	23

### Death Reporting

	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
<b># of Deaths Reported-</b>												
<b>Community Residential</b>	4	6	4	8	2	3	10	5	6	5	5	5
<b># of Deaths Reported- Regional Centers</b>	0	1	4	2	3	4	4	1	3	1	0	3

**SCDDSN Incident Management Report 5 year trend data (Community Residential, Day Service, and Regional Centers)**

**Allegations of Abuse/Neglect/Exploitation**

<b>Community Residential</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b># of ANE Allegations</b>	412	492	383	437	456
<b>Rate per 100</b>	9.7	11.4	8.8	9.9	9.9
<b># ANE Allegations Substantiated</b>	8	1	11	7	4
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	56 (Day & Res.)	92 (Day & Res.)	65	74	60
<b>Day Services</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b># of ANE Allegations</b>	71	61	73	65	58
<b>Rate per 100</b>	0.96	0.8	0.97	0.8	0.7
<b># ANE Allegations Substantiated</b>	2	2	4	4	1
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	56 (Day & Res.)	92 (Day & Res.)	14	9	12
<b>Regional Centers</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b># of ANE Allegations</b>	137	111	167	102	110
<b>Rate per 100</b>	16.8	14	22	13.5	14
<b># ANE Allegations Substantiated</b>	0	1	0	0	2
<b># of Staff Terminated for policy and/or procedural violations or employee misconduct</b>	15	21	17	16	24

<b>Critical Incident Reporting</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b># of Critical Incidents Reported- Community Settings (including Residential, Day &amp; Other)</b>	1325	1338	1277	1385	1659
<b>Rate per 100</b>	16.8	16.9	15.8	16.6	19.1
<b># of Critical Incidents Reported- Regional Centers</b>	216	248	224	241	294
<b>Rate per 100</b>	26.5	31.2	29.6	32	39
<b>Death Reporting</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b># of Deaths Reported- Community Settings</b>	48	68	59	65	63
<b>Rate per 100</b>	1.1	1.6	1.4	1.5	1.4
<b># of Deaths Reported- Regional Centers</b>	23	20	31	31	26
<b>Rate per 100</b>	3	3	4	4	4

*\*\* Critical Incidents reflected in this chart include events that involve all aspects of DDSN Service, including those outside of Residential and Day Services. Not all incidents reported include consumers .*



**SC Department of Disabilities and Special Needs  
 FY 2017 Monthly Financial Summary - Operating Funds  
 Month Ended: July 31, 2016**

	<u>General Fund (Appropriations)</u>	<u>Medicaid Fund</u>	<u>Other Operating Funds</u>	<u>Federal and Restricted Funds</u>	<u>Total</u>
<b>FY 2016 Unreserved Cash</b>	<b>\$ 939,561</b>	<b>\$ 527,877</b>	<b>\$ 877,569</b>	<b>\$ 16,190</b>	<b>\$ 2,361,197</b> <sup>1</sup>
<b><u>FY 2017 YTD Activity</u></b>					
<b><u>Receipts/Transfers</u></b>					
Revenue	231,643,470	29,925,271	798,247	-	262,366,988
Interfund Transfers	(25,000,000)	25,000,000	-	-	-
<b>Total Receipts/Transfers</b>	<b>206,643,470</b>	<b>54,925,271</b>	<b>798,247</b>	<b>-</b>	<b>262,366,988</b>
<b><u>Disbursements</u></b>					
Personal Services	(3,880,376)	(1,198,709)	(2,731)	(13,560)	(5,095,376)
Fringe Benefits	(1,939,220)	(625,503)	-	(5,172)	(2,569,895)
Other Operating Expense	(7,641,376)	(37,892,831)	(2,303)	(0)	(45,536,510)
Capital Outlays	-	(36,383)	-	-	(36,383)
<b>Total Disbursements</b>	<b>(13,460,972)</b>	<b>(39,753,426)</b>	<b>(5,034)</b>	<b>(18,732)</b>	<b>(53,238,164)</b>
<b>Outstanding Accounts Payable Balance</b>	<b>(20)</b>	<b>(93,001)</b>	<b>(417)</b>	<b>-</b>	<b>(93,438)</b>
<b>Unreserved Cash Balance - 7/31/2016</b>	<b>\$ 194,122,039</b>	<b>\$ 15,606,721</b>	<b>\$ 1,670,365</b>	<b>\$ (2,542)</b> <sup>2</sup>	<b>\$ 211,396,583</b>

<sup>1</sup> \$5,000,000 of the total cash balance has been reserved for future Medicaid Settlements

<sup>2</sup> Education Improvement Act Funds (Federal and Restricted Funds) are typically received in August for 1st quarter expenditures.

	G	H	I	J	K	L	M	N	O	P
1	<b>FM Budget vs Actual</b>									
2	<b>Author</b> JGRANT		<b>Status of Data 8/11/2016 06:13:08</b>							
3	Filter	Information								
4										
12										
13										
14	<b>Table</b>									
15	<b>Fiscal year</b>	<b>Business area</b>	<b>Funded Program - Bud</b>	<b>Original Budget</b>	<b>Budget Adjustments</b>	<b>Current Budget</b>	<b>YTD Actual Expense</b>	<b>Balance Before Commitments</b>	<b>Commitments and Other Transactions</b>	<b>Remaining Balance</b>
16	2017	DDSN	ADMINISTRATION	\$ 7,278,969.00	\$ 0.00	\$ 7,278,969.00	\$ 629,302.50	\$ 6,649,666.50	\$ 1,128,071.50	\$ 5,521,595.00
17			PREVENTION PROGRAM	\$ 257,098.00	\$ 0.00	\$ 257,098.00	\$ 5,000.00	\$ 252,098.00	\$ 0.00	\$ 252,098.00
18			GWOOD GENETIC CTR	\$ 11,358,376.00	\$ 0.00	\$ 11,358,376.00	\$ 1,641,062.00	\$ 9,717,314.00	\$ 8,667,019.00	\$ 1,050,295.00
19			CHILDREN'S SERVICES	\$ 14,859,135.00	\$ 7,251,183.00	\$ 22,110,318.00	\$ 1,181,732.38	\$ 20,928,585.62	\$ 0.00	\$ 20,928,585.62
20			Babynet	\$ 9,312,500.00	\$ 0.00	\$ 9,312,500.00		\$ 9,312,500.00		\$ 9,312,500.00
21			IN-HOME FAMILY SUPP	\$ 102,211,827.00	-\$ 15,562,529.00	\$ 86,649,298.00	\$ 1,639,716.21	\$ 85,009,581.79	\$ 30,442,140.36	\$ 54,567,441.43
22			ADULT DEV&SUPP EMPLO	\$ 67,475,832.00	\$ 12,398,846.00	\$ 79,874,678.00	\$ 8,975,246.06	\$ 70,899,431.94	\$ 0.00	\$ 70,899,431.94
23			SERVICE COORDINATION	\$ 22,707,610.00	\$ 0.00	\$ 22,707,610.00	\$ 2,819,538.33	\$ 19,888,071.67	\$ 879,498.00	\$ 19,008,573.67
24			AUTISM SUPP PRG FY10	\$ 14,113,306.00	\$ 0.00	\$ 14,113,306.00	\$ 920,946.75	\$ 13,192,359.25	\$ 1,778,668.00	\$ 11,413,691.25
25			Pervasive Developmental Disorder (PDD)	\$ 10,780,880.00	\$ 0.00	\$ 10,780,880.00	\$ 50,150.91	\$ 10,730,729.09	\$ 2,535,815.00	\$ 8,194,914.09
26			HD&SPINL CRD INJ COM	\$ 3,040,532.00	\$ 673,210.00	\$ 3,713,742.00	\$ 200,023.00	\$ 3,513,719.00	\$ 0.00	\$ 3,513,719.00
27			REG CTR RESIDENT PGM	\$ 73,912,065.00	\$ 0.00	\$ 73,912,065.00	\$ 4,771,661.47	\$ 69,140,403.53	\$ 8,339,271.93	\$ 60,801,131.60
28			HD&SPIN CRD INJ FAM	\$ 26,258,987.00	\$ 826,790.00	\$ 27,085,777.00	\$ 1,073,891.70	\$ 26,011,885.30	\$ 10,272,776.00	\$ 15,739,109.30
29			AUTISM COMM RES PRO	\$ 23,557,609.00	\$ 0.00	\$ 23,557,609.00	\$ 2,192,560.18	\$ 21,365,048.82	\$ 135,921.19	\$ 21,229,127.63
30			INTELL DISA COMM RES	\$ 311,439,097.00	-\$ 5,587,500.00	\$ 305,851,597.00	\$ 24,659,521.62	\$ 281,192,075.38	\$ 59,610,647.00	\$ 221,581,428.38
31			STATEWIDE CF APPRO		\$ 939,560.19	\$ 939,560.19		\$ 939,560.19		\$ 939,560.19
32			STATE EMPLOYER CONTR	\$ 29,857,979.00	\$ 0.00	\$ 29,857,979.00	\$ 2,569,895.56	\$ 27,288,083.44	\$ 0.00	\$ 27,288,083.44
33			DUAL EMPLOYMENT				\$ 1,354.18	-\$ 1,354.18		-\$ 1,354.18
34			Result	\$ 728,421,802.00	\$ 939,560.19	\$ 729,361,362.19	\$ 53,331,602.85	\$ 676,029,759.34	\$ 123,789,827.98	\$ 552,239,931.36